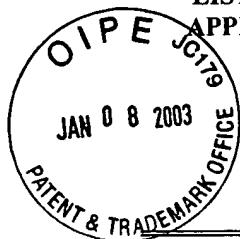


ATTY. DOCKET NO.  
22645-7085

SERIAL NO. 10/008,268



**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT(S) INFORMATION DISCLOSURE  
STATEMENT**  
(Use several sheets if necessary)

INVENTOR: James M. Kates

FILING DATE: 11/13/01 GROUP ART UNIT: 2643

**REFERENCE DESIGNATION****U.S. PATENT DOCUMENTS**

EXAM'R INITIAL		DOCUMENT NUMBER	DATE	NAME	Class	Subclass	Filing Date If Appropriate
SN	A1	5,771,299	06/23/98	Melanson	381	68.2	06/20/96
	A2	5,448,010	09/05/95	Smith, III	84	622	03/22/93
	A3						

**FOREIGN PATENT DOCUMENTS**

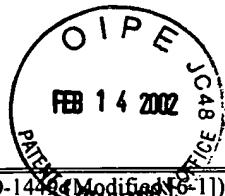
EXAM'R INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	Subclass	TRANSLAT'N	
							yes	no
	B1							
	B2							
	B3							
	B4							
	B5							

**OTHER ART (Include Author, Title, Date, Pertinent Pages, etc.)**

	C1	RECEIVED JAN 09 2003 Technology Center 2600
	C2	
	C3	
	C4	
	C5	
	C6	
	C7	
	C8	
	C9	
	C10	

EXAMINER *Sutro J*DATE CONSIDERED *2/14/04*

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant(s).



FORM PTO-144 (Modifed 6-1)

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT(S) INFORMATION DISCLOSURE  
STATEMENT**

(Use several sheets if necessary)

ATTY. DOCKET NO.  
22645-7085

SERIAL NO. 10/008,268

INVENTOR: James M. Kates

FILING DATE: 11-13-01 GROUP ART UNIT: 2643

**REFERENCE DESIGNATION**

**U.S. PATENT DOCUMENTS**

EXAM'R INITIAL		DOCUMENT NUMBER	DATE	NAME	Class	Subclass	Filing Date If Appropriate
	A1						
	A2						

**FOREIGN PATENT DOCUMENTS**

EXAM'R INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	Subclass	TRANSLAT'N
	B1						yes no
	B3						

**OTHER ART (Include Author, Title, Date, Pertinent Pages, etc.)**

<i>SN</i>	C1	A. Harma, et al. "Frequency-Warped Signal Processing for Audio Applications", J. Audio Eng. Soc., Vol. 48, No. 11, November, 2000, pp. 1011-1031
	C2	
	C3	
	C4	
	C5	
	C6	
	C7	
	C8	

**RECEIVED**

*FEB 21 2002*

*Technology Center 2600*

EXAMINER	<i>SN</i>	DATE CONSIDERED	<i>2/14/02</i>
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant(s).			

FORM PTO-1449 (Modified [6-1])

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT(S)' INFORMATION DISCLOSURE  
STATEMENT**  
(Use several sheets if necessary)

ATTY. DOCKET NO.  
22645-7085SERIAL NO.  
New Application

INVENTOR: James M. Kates

FILING DATE: Herewith

GROUP ART UNIT  
Unknown

U.S. PTO  
JC8610/008268  
11/13/01


**REFERENCE DESIGNATION****U.S. PATENT DOCUMENTS**

EXAM'R INITIAL		DOCUMENT NUMBER	DATE	NAME	Class	Subclass	Filing Date If Appropriate
<i>SN</i>	A1	4,689,818	08/25/87	Ammitzboll	381	68	04/28/83
	A2	4,731,850	03/15/88	Levitt, et al.	381	68.2	06/26/86
	A3	5,016,280	05/14/91	Engebretson, et al.	381	68	03/23/88
	A4	5,019,952	05/28/91	Smolenski, et al.	363	16	11/20/89
	A5	5,091,952	02/25/92	Williamson, et al.	381	68.2	11/10/88
	A6	5,259,033	11/02/93	Goodings, et al.	381	68	07/09/92
	A7	5,402,496	03/28/95	Soli, et al.	381	94	07/13/92
	A8	5,940,519	08/17/99	Kuo	381	71.11	12/17/97
	A9	6,072,844	06/06/00	Kates	381	318	11/18/97
	A10	6,104,822	08/15/00	Melanson, et al.	381	320	08/06/97
<i>SN</i>	A11	6,236,731	05/22/01	Brennan, et al.	381	316	04/16/98

**FOREIGN PATENT DOCUMENTS**

EXAM'R INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	Subclass	TRANSLAT'N
							yes no
	B1						
	B2						

**OTHER ART (Include Author, Title, Date, Pertinent Pages, etc.)**

C1	
C2	
C3	
C4	
C5	
C6	

**OTHER ART (Include Author, Title, Date, Pertinent Pages, etc.)**

C7	
C8	
C9	

EXAMINER

*Solomon*

DATE CONSIDERED

*2/14/04*

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant(s).